

LEGAL SERVICES CORPORATION

Sunshine Act Meeting

NOTICE

DATE AND TIME: The Legal Services Corporation's Board of Directors and its six committees will meet October 20 – 22, 2013. On Sunday, October 20, the first meeting will commence at 1:30 p.m., Eastern Daylight Time (EDT), with the meeting thereafter commencing promptly upon adjournment of the immediately preceding meeting. On Monday, October 21, the first and only meeting will commence at 3:30 p.m., EDT. On Tuesday, October 22, the first meeting will commence at 8:30 a.m., EDT, and it will be followed by the meeting of the Board of Directors which will commence promptly upon adjournment of the first meeting.

LOCATION: Symphony Ballroom, Renaissance Pittsburgh Hotel, 107 Sixth Street, Pittsburgh, Pennsylvania 15222.

PUBLIC OBSERVATION: Unless otherwise noted herein, the Board and all committee meetings will be open to public observation. Members of the public who are unable to attend in person but wish to listen to the public proceedings may do so by following the telephone call-in directions provided below.

CALL-IN DIRECTIONS FOR OPEN SESSIONS:

- Call toll-free number: 1-866-451-4981;
- When prompted, enter the following numeric pass code: 5907707348
- When connected to the call, please immediately **"MUTE"** your telephone.

Members of the public are asked to keep their telephones muted to eliminate

background noises. To avoid disrupting the meeting, please refrain from placing the call on hold if doing so will trigger recorded music or other sound. From time to time, the presiding Chair may solicit comments from the public.

MEETING SCHEDULE

Sunday, October 20, 2013	Time*
1. Operations & Regulations Committee	1:30 p.m.
2. Governance & Performance Committee	
3. Institutional Advancement Committee	
4. Audit Committee	
Monday, October 21, 2013	
1. Promotion & Provision for the Delivery of Legal Services Committee	3:30 p.m.
Tuesday, October 22, 2013	
1. Finance Committee	8:30 a.m.
2. Board of Directors	

STATUS OF MEETING: Open, except as noted below.

Board of Directors - Open, except that, upon a vote of the Board of Directors, a portion of the meeting may be closed to the public to hear briefings by management and LSC's Inspector General, and to consider and act on the General Counsel's report on potential and pending litigation involving LSC and on a list of

* Please note that all times in this notice are in the *Eastern Daylight Time*.

prospective funders.**

Institutional Advancement Committee – Open, except that, upon a vote of the Board of Directors, the meeting may be closed to the public to discuss prospective funders for LSC’s 40th anniversary celebration and development activities and prospective members for LSC’s 40th Campaign Cabinet and Honorary Committee.

A verbatim written transcript will be made of the closed session of the Board and Institutional Advancement Committee meetings. The transcript of any portions of the closed sessions falling within the relevant provisions of the Government in the Sunshine Act, 5 U.S.C. § 552b(c)(6) and (10), will not be available for public inspection. A copy of the General Counsel’s Certification that, in his opinion, the closing is authorized by law will be available upon request.

MATTERS TO BE CONSIDERED:

October 20, 2013

OPERATIONS & REGULATIONS COMMITTEE

1. Approval of agenda
2. Approval of minutes of the Committee’s meeting on July 22, 2013

** Any portion of the closed session consisting solely of briefings does not fall within the Sunshine Act’s definition of the term “meeting” and, therefore, the requirements of the Sunshine Act do not apply to such portion of the closed session. 5 U.S.C. 552b (a) (2) and (b). *See also* 45 C.F.R. § 1622.2 & 1622.3.

3. Update on rulemakings for 45 CFR Part 1614—Private Attorney Involvement and 45 CFR Part 1626—Restrictions on Legal Assistance to Aliens
 - Stefanie Davis, Assistant General Counsel
4. Consider and act on 45 CFR Part 1613— Restrictions on Legal Assistance with Respect to Criminal Proceedings and the Tribal Law and Order Act of 2010, Title II of Public Law 111-211
 - Rulemaking Options Paper (ROP) and Notice of Proposed Rulemaking (NPRM) regarding updates to Part 1613
 - Stefanie Davis, Assistant General Counsel
 - Public comment
5. Briefing on updating population data for grants serving migratory and other agricultural workers
 - Ron Flagg, General Counsel
 - Bristow Hardin, Office of Program Performance (by telephone)
6. Discussion of plans for the Committee’s annual review of LSC’s implementation of the Strategic Plan 2012-2016, as provided by section VI (3) of the Committee Charter
 - Jim Sandman, LSC President
7. Other public comment
8. Consider and act on other business
9. Consider and act on adjournment of meeting

GOVERNANCE & PERFORMANCE REVIEW COMMITTEE

1. Approval of agenda
2. Approval of minutes of the Committee's meeting of July 23, 2013
3. Report on progress in implementing GAO recommendations
 - Presentation by Carol Bergman, Director of Government Relations & Public Affairs
4. Report on revised forms for Board evaluations
 - Presentation by Carol Bergman, Director of Government Relations & Public Affairs
5. Report on Public Welfare Foundation grant and LSC research agenda
 - Presentation by Jim Sandman, President
6. Consider and act on LSC's Conflicts of Interest Policy
 - Presentation by Ron Flag, Vice President for Legal Affairs, General Counsel & Corporate Secretary
7. Consider and act on other business
8. Public comment
9. Consider and act on motion to adjourn meeting

INSTITUTIONAL ADVANCEMENT COMMITTEE

Open Session

1. Approval of agenda
2. Consider and act on LSC's case statement for fundraising

3. Discussion of structures for LSC's 40th Campaign Cabinet and Honorary Committee
4. Public comment
5. Consider and act on other business

Closed Session

6. Discussion of prospective funders for LSC's 40th anniversary celebration and development activities
7. Discussion of prospective members for LSC's 40th Campaign Cabinet and Honorary Committee
8. Consider and act on adjournment of meeting

AUDIT COMMITTEE

1. Approval of agenda
2. Approval of minutes of the Committee's July 2, 2013 meeting
3. Approval of minutes of the Committee's July 21, 2013 meeting
4. Further discussions regarding the Risk Management Plan and act on ***Resolution #2013-0XX***
5. Oversight of Risk Management: Management discussion of Internal Financial Controls
 - Jim Sandman, President
 - Ron Flagg, General Counsel
 - David Richardson, Comptroller

6. Briefing about referrals by the Office of Inspector General to the Office of Compliance and Enforcement regarding matters from the annual Independent Public Accountants' audits of grantees
 - Jeffrey Schanz, Inspector General
 - Ronald (Dutch) Merryman, Assistant IG for Audits
 - Lora Rath, Director of Compliance and Enforcement
7. Briefing regarding Quality Control Review program for Independent Public Accountants and OIG findings
 - Jeffrey Schanz, Inspector General
 - Ronald (Dutch) Merryman, Assistant IG for Audits
8. Briefing by Office of Inspector General on Questioned Costs
 - Jeffrey Schanz, Inspector General
 - Ronald (Dutch) Merryman, Assistant IG for Audits
 - Lora Rath, Director of Compliance and Enforcement
9. Public comment
10. Consider and act on other business
11. Consider and act on adjournment of meeting

October 21, 2013

**PROMOTION & PROVISION FOR THE
DELIVERY OF LEGAL SERVICES COMMITTEE**

1. Approval of Agenda
2. Discussion of Committee's charter
3. Panel presentation on LSC's Performance Criteria
 - *Katia Garrett*, Executive Director, District of Columbia Bar Foundation
 - *Alex Gulotta*, Executive Director, Legal Aid Justice Center
 - *Yvonne Mariajimenez*, Deputy Director, Neighborhood Legal Services of Los Angeles County
 - *Janet LaBella*, Director, Office of Program Performance, Legal Services Corporation (*Moderator*)
4. Public comment
5. Consider and act on other business
6. Consider and act on motion to adjourn the meeting

October 22, 2013

FINANCE COMMITTEE

1. Approval of agenda
2. Approval of the minutes of the meeting of July 9, 2013
3. Approval of the minutes of the meeting of July 21, 2013

4. Presentation on LSC's Financial Reports for the eleven-month period ending August 31, 2013
 - Presentation by David Richardson, Treasurer/Comptroller
5. Report on status of FY 2014 appropriations process
 - Presentation by Carol Bergman, Director of Government Relations & Public Affairs
6. Consider and act on **Resolution # 2013-0XX**, Temporary Operating Budget for FY 2014
 - Presentation by David Richardson, Treasurer/Comptroller
7. Public comment
8. Consider and act on other business
9. Consider and act on adjournment of meeting

October 22, 2013

BOARD OF DIRECTORS

Open Session

1. Pledge of Allegiance
2. Approval of agenda
3. Approval of minutes of the Board's meeting of July 23, 2013
4. Chairman's Report
5. Members' Reports

6. President's Report
7. Inspector General's Report
8. Report on implementation of recommendations of the Pro Bono Task Force
9. Consider and act on the report of the Promotion and Provision for the Delivery of Legal Services Committee
10. Consider and act on the report of the Finance Committee
11. Consider and act on the report of the Audit Committee
12. Consider and act on the report of the Operations and Regulations Committee
13. Consider and act on the report of the Governance and Performance Review Committee
14. Consider and act on the report of the Institutional Advancement Committee
15. Public comment
16. Consider and act on other business
17. Consider and act on whether to authorize an executive session of the Board to address items listed below, under Closed Session

Closed Session

18. Approval of minutes of the Board's closed session meeting of July 23, 2013
19. Briefing by Management

20. Briefing by the Inspector General
21. Consider and act on General Counsel's report on potential and pending litigation involving LSC
22. Consider and act on list of prospective funders
23. Consider and act on motion to adjourn meeting

CONTACT PERSON FOR INFORMATION: Katherine Ward, Executive Assistant to the Vice President & General Counsel, at (202) 295-1628. Questions may be sent by electronic mail to FR_NOTICE_QUESTIONS@lsc.gov.

NON-CONFIDENTIAL MEETING MATERIALS: Non-confidential meeting materials will be made available in electronic format at least 24 hours in advance of the meeting on the LSC website, at <http://www.lsc.gov/board-directors/meetings/board-meeting-notices/non-confidential-materials-be-considered-open-session>.

ACCESSIBILITY: LSC complies with the American's with Disabilities Act and Section 504 of the 1973 Rehabilitation Act. Upon request, meeting notices and materials will be made available in alternative formats to accommodate individuals with disabilities. Individuals who need other accommodations due to disability in order to attend the meeting in person or telephonically should contact Katherine Ward, at (202) 295-1500 or FR_NOTICE_QUESTIONS@lsc.gov, at least 2 business days in advance of the meeting. If a request is made without advance notice, LSC will make every effort to accommodate the request but cannot guarantee that all requests can be fulfilled.

A handwritten signature in black ink, reading "Atitaya C. Rok". The signature is written in a cursive style with a long horizontal flourish extending to the right.

Atitaya C. Rok

Staff Attorney

October 10, 2013